

**Exercise 4.7.10:** Let  $B$  be the collection of all sequences of 0's and 1's for which the number of 1's is finite. In other words,

$$B = \{\{b_i\} : b_i \in \{0, 1\} \text{ for all } i \text{ and there exists } n \in \mathbb{Z}^+ \text{ such that } b_i = 0 \text{ for all } i \geq n\}.$$

One example of an element of the set  $B$  is the sequence

$$1, 0, 1, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, \dots$$

Prove that the set  $B$  is countably infinite.

**Solution:** For each nonnegative integer  $n$ , let  $B_n = \{\{b_i\} \in B : b_i = 0 \text{ for all } i > n\}$ . Since the set  $B_n$  contains  $2^n$  elements, each  $B_n$  is a finite set and thus countable. Furthermore, by the definition of the set  $B$ , we see that  $B = \bigcup_{n=0}^{\infty} B_n$ . It follows that  $B$  is a countable set. Since the set  $B$  is clearly infinite (the 'standard' basis  $\{\mathbf{e}_n : n \in \mathbb{Z}^+\}$  would give an infinite subset of  $B$ ), we conclude that  $B$  is countably infinite.

Consider the function  $f: B \rightarrow \mathbb{Z}^+ \cup \{0\}$  defined by  $f(\{b_i\}) = \sum_{i=1}^{\infty} b_i 2^{i-1}$ . (Given the definition of the set  $B$ , the sum is actually a finite sum.) To prove that  $f$  is injective, suppose  $\{a_i\}$  and  $\{b_i\}$  are two different elements of  $B$ . Choose a positive integer  $N$  such that  $a_N \neq b_N$  and  $a_i = b_i$  for all  $i > N$ . Without loss of generality, we may assume that  $a_N = 1$  and  $b_N = 0$ . We then have

$$f(\{a_i\}) - f(\{b_i\}) = \sum_{i=1}^{\infty} (a_i - b_i) 2^{i-1} = \sum_{i=1}^N (a_i - b_i) 2^{i-1} \geq 2^{N-1} - \sum_{i=1}^{N-1} 2^{i-1} = 2^{N-1} - (2^{N-1} - 1) = 1.$$

This shows that  $f(\{a_i\}) \neq f(\{b_i\})$  and we conclude that  $f$  is injective. The set  $f(B)$  is countable since it is a subset of  $\mathbb{Z}^+ \cup \{0\}$ . Since  $B$  is in a one-to-one correspondence with  $f(B)$ , the set  $B$  is countably infinite.

If desired, we can use strong induction to show that  $f$  is a surjection. It is easy to verify that the integers  $0, 1, 2, \dots, 8$  are in the range of  $f$ . Suppose that all of the integers  $0, 1, 2, \dots, n$  are in the range of  $f$  for some positive integer  $n \geq 8$ . Choose a positive integer  $k$  such that  $2^{k-1} < n+1 \leq 2^k$ . If  $n+1 = 2^k$ , then  $n+1$  is the image of the sequence composed of all 0's with a 1 in the  $k+1$  position. Suppose that  $2^{k-1} < n+1 < 2^k$  and consider the integer  $m = n+1 - 2^{k-1}$ . Since  $0 \leq m \leq n$ , the induction hypothesis tells us that  $m$  is in the range of  $f$ . Let  $m = f(\{b_i\})$  and, since  $m < 2^{k-1}$ , note that  $b_i = 0$  for all  $i \geq k$ . Consider the sequence  $\{c_i\}$ , where  $c_i = b_i$  for all  $i \neq k$  and  $c_k = 1$ . Then  $\{c_i\}$  is in the set  $B$  and we have

$$f(\{c_i\}) = f(\{b_i\}) + 2^{k-1} = m + 2^{k-1} = n+1.$$

This shows that  $n+1$  is in the range of  $f$ . By the Principle of Strong Induction, it follows that the range of  $f$  is  $\mathbb{Z}^+$ , that is, the function  $f$  is surjective.

Let  $\{p_i\}$  be the sequence of primes and define a function  $g: B \rightarrow \mathbb{Z}^+$  by  $g(\{b_i\}) = \prod_{i=1}^{\infty} p_i^{b_i}$ . (As above, the product only involves a finite number of terms that are greater than 1.) By the Fundamental Theorem of Arithmetic, it is clear that  $g$  is an injective function. (The function  $g$  in this case is certainly not surjective since no prime appears to a power larger than 1; the range of  $g$  is the collection of all square-free positive integers.) As above, it follows that  $B$  is countably infinite.

**Exercise 4.8.2:** Let  $A$  be the collection of all sequences of 0's and 1's. In other words,

$$A = \{\{a_i\} : a_i \in \{0, 1\} \text{ for all } i\}.$$

One simple example of an element of the set  $A$  is the sequence

$$1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, 1, 0, \dots$$

- a) Use Cantor's diagonal process to prove that the set  $A$  is uncountable.
- b) Prove that the collection of all subsets of positive integers is uncountable by establishing a one-to-one correspondence between  $\mathcal{P}(\mathbb{Z}^+)$  and the set  $A$ .
- c) Explain and prove the statement  $2^{\aleph_0} = c$ . (Compare with Exercise 7 below.)

**Solution:** To prove part (a), suppose that  $f: \mathbb{Z}^+ \rightarrow A$  is an injection. Denote  $f(n)$  by  $\{x_{n,i}\}$  for each  $n \in \mathbb{Z}^+$ . Consider the sequence  $\{a_i\}$  defined by  $a_i = 0$  if  $x_{i,i} = 1$  and  $a_i = 1$  if  $x_{i,i} = 0$ . Then  $\{a_i\} \in A$ . Since the sequences  $\{x_{n,i}\}$  and  $\{a_i\}$  have different numbers in the  $n$ th position, we see that  $f(n) \neq \{a_i\}$  for all  $n \in \mathbb{Z}^+$ . This means that  $\{a_i\}$  is not in the range of  $f$ . It follows that  $f$  is not surjective. Since every injection  $f: \mathbb{Z}^+ \rightarrow A$  fails to be a surjection, there is no bijection between  $\mathbb{Z}^+$  and  $A$ . Hence, the set  $A$  is uncountable.

Consider the function  $f: A \rightarrow \mathcal{P}(\mathbb{Z}^+)$  defined by  $f(\{a_i\}) = \{i \in \mathbb{Z}^+ : a_i = 1\}$ . Suppose that  $\{a_i\}$  and  $\{b_i\}$  are two distinct elements of  $A$ . Since the two sequences are different, there exists an index  $n$  such that  $a_n \neq b_n$ . Without loss of generality, we may assume that  $a_n = 1$  and  $b_n = 0$ . It follows that  $n \in f(\{a_i\})$  but  $n \notin f(\{b_i\})$ . This shows that  $f(\{a_i\}) \neq f(\{b_i\})$ . Hence, the function  $f$  is injective. Now suppose that  $S$  is a set of positive integers. Define a sequence  $\{c_i\}$  by  $c_i = 1$  if  $i \in S$  and  $c_i = 0$  if  $i \notin S$ . It is then clear that  $f(\{c_i\}) = S$ . Hence, the function  $f$  is surjective. We have thus established a one-to-one correspondence between  $\mathcal{P}(\mathbb{Z}^+)$  and the set  $A$ . Using the result in part (a), we find that the set  $\mathcal{P}(\mathbb{Z}^+)$  is uncountable.

Using binary expansions, we can establish a one-to-one correspondence between  $A$  and the interval  $[0, 1]$ . (Some care is required here since some real numbers have two binary expansions, one ending with all 0's and one ending with all 1's.) Hence, there is a one-to-one correspondence between  $\mathcal{P}(\mathbb{Z}^+)$  and the interval  $[0, 1]$ . Since the cardinality of  $\mathcal{P}(\mathbb{Z}^+)$  is  $2^{\aleph_0}$  and the cardinality of  $[0, 1]$  is  $c$ , we find that  $2^{\aleph_0} = c$ . ■