

## Summary: 4.1/4.3: Extreme Values

1. Know the definitions of global max/global min, local max/local min.
2. Definition: The critical values of  $f$  are the numbers  $x$  where  $f'(x) = 0$  or where  $f'(x)$  is not defined.
3. The Extreme Value Theorem. If  $f$  is continuous on  $[a, b]$ , then  $f$  attains a maximum and a minimum on  $[a, b]$ .  
This is an Existence Theorem- It tells us a max/min exists, but not where to find them.
4. Fermat's Theorem: If  $f$  has a local max/min at  $x = a$ , and  $f$  is differentiable at  $x = a$ , then  $f'(a) = 0$ .  
Fermat's Theorem gives us the justification for the procedure that follows.
5. Procedure for finding a global max/min on  $[a, b]$  if  $f$  is continuous: Find the candidates, and check the corresponding  $y$ -values.

- Compute  $f(a)$  and  $f(b)$ .
- Find the critical values of  $f$  and compute the corresponding  $y$ -values.

The largest of these is the global max, the smallest is the global min.

Note: If the problem does not satisfy the Extreme Value Theorem, we take each function on a case-by-case basis. In these cases, the signs of the first and second derivative (use a table!) can be very revealing.

6. Procedure for locating local max/min. We have a candidate for the local max/min,  $x = a$  ( $f'(a) = 0$  or does not exist).
  - (a) The First Derivative Test.
    - If  $f'$  changes sign from  $+$  to  $-$ ,  $f(a)$  is a local max.
    - If  $f'$  changes sign from  $-$  to  $+$ ,  $f(a)$  is a local min.
    - If  $f'$  does not change sign,  $f(a)$  is neither.
  - (b) The Second Derivative Test.
    - If  $f''(a) > 0$  (concave up),  $f(a)$  is a local min.
    - If  $f''(a) < 0$  (concave down),  $f(a)$  is a local max.
    - If  $f''(a) = 0$  or does not exist, this test fails. (We can't say anything about  $x = a$ - Try another test.)
7. Recall that the first and second derivatives tell us the following about the graph of a function:
  - If  $f'(a) > 0$ ,  $f$  is increasing at  $x = a$ .
  - If  $f'(a) < 0$ ,  $f$  is decreasing at  $x = a$ .
  - If  $f'(a) = 0$ ,  $f$  is "locally constant" at  $x = a$ .
  - If  $f''(a) > 0$ ,  $f$  is concave up at  $x = a$ .
  - If  $f''(a) < 0$ ,  $f$  is concave down at  $x = a$ .
8. You might also review the method (using a table) of finding where a polynomial is positive or negative.